



Customer No. 22,852  
Attorney Docket No.: 04853.0079

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
**Kazunari TAIRA et al.** ) Group Art Unit: 1645  
)  
Serial No.: 09/974,974 ) Examiner: Not Yet Assigned  
)  
Filed: October 12, 2001 )  
)  
For: **NUCLEIC ACID ENZYMES** )  
**ACQUIRING AN ACTIVITY FOR** )  
**CLEAVING A TARGET RNA BY** )  
**RECOGNIZING ANOTHER** )  
**MOLECULE** )

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice To File Missing Parts dated November 15, 2001, enclosed is the Declaration, a copy of the "Sequence Listing" in computer readable form (1 diskette), a copy of the written sequence listing (8 pages), a Statement Under 37 C.F.R. § 1.821, that computer readable form of the sequence listing is identical to the paper copy, and a copy of the Notice To File Missing Parts.

Also enclosed is a check in the amount of \$130.00 to cover the surcharge fee. Authorization is hereby given to charge any additional fees to our deposit account no. 06-0916.

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

Customer No. 22,852  
Attorney Docket No. 04853.0079

Please associate this Declaration with the application as filed on  
October 12, 2001 and assigned serial number 09/974,974.

Respectfully submitted,

FINNEGAN, HENDERSON,  
FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 14, 2002

By: 

Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/sci

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

302503-1



Attorney Docket No. 04853.0079  
Customer Number 22,852

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

**Kazunari TAIRA et al.**

Serial No.: 09/974,974

Filed: October 12, 2001

For: **NUCLEIC ACID ENZYMES  
ACQUIRING AN ACTIVITY FOR  
CLEAVING A TARGET RNA BY  
RECOGNIZING ANOTHER  
MOLECULE**

)  
)  
) Group Art Unit: 1645  
)  
) Examiner: Not Yet Assigned  
)  
)  
)  
)  
)  
)  
)

**Assistant Commissioner for Patents  
Washington, DC 20231**

Sir:

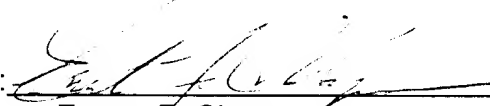
**STATEMENT**

The information recorded in computer readable form (attached diskette) is identical to the written sequence listing.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 14, 2002

By:   
Ernest F. Chapman  
Reg. No. 25,961

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

EFC/FPD/sci  
Enclosures

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/974.974	10/12/2001	Kazunari Taira	04853.0079

CONFIRMATION NO. 2692

## FORMALITIES LETTER



\*OC000000007078806\*

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315



MAR 19 2001

Date Mailed: 11/15/2001

FINNEGAN HENDERSON FARABOW  
GARRETT & DUNNER LLP  
WASHINGTON, DC 20005-3315

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

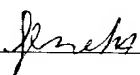
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY